

# Southwest Power Pool, Inc. External Systems Acceptable Use Policy

Maintained by Southwest Power Pool, Inc.

Version 3.0

Published 3/14/2006

Latest Revision 6/27/2014

Copyright © 2014 by Southwest Power Pool, Inc. All rights reserved.

---

## Revision History

---

Version Number	Date	Change Description
1.0	2/27/2006	Initial Draft
1.1	3/1/2006	Updated w/comments
1.2	3/1/2006	Updated w/comments
2.0	3/14/2006	Final version published
3.0	3/5/2014	Updates, including those needed to incorporate Integrated Marketplace
4.0	6/27/14	Updates regarding ability to restrict access without notice if deemed necessary,
5.0	7/3/14	Clarify that “SPP <u>may</u> take any action necessary to remedy”...any improper use of an SPP System.

# Table of Contents

<b>Revision History .....</b>	<b>1</b>
<b>Purpose.....</b>	<b>3</b>
<b>Scope.....</b>	<b>3</b>
<b>Policy .....</b>	<b>3</b>
<b>Enforcement .....</b>	<b>4</b>
Reasonable Use .....	4
Privacy .....	4
Revocation of Access.....	4
<b>Disclaimer .....</b>	<b>5</b>

---

## Purpose

---

Southwest Power Pool, Inc.'s (SPP) Integrated Marketplace includes User Interfaces (UIs), Application Programmatic Interfaces (APIs), and communications systems are provided for use by all SPP customers, Market Participants (MPs), their agents, and other users of SPP services on an equal basis. These systems are provided to all users on an equal basis. To ensure the greatest level of availability and functionality of these systems and interfaces, SPP has published this External Systems Acceptable Use Policy.

The External Systems Acceptable Use Policy governs all user access and use of the systems and provides the structure through which SPP will monitor access and use of the systems to ensure that the use is consistent with SPP's Open Access Transmission Tariff (Tariff), Integrated Marketplace Market Protocols, Business Practices, applicable documents and other lawful purposes.

The External Systems Acceptable Use Policy sets forth the terms and conditions under which SPP may deny, in whole or in part, a user's access to systems. Access may be denied either periodically or indefinitely when the user is acting in a manner that is not compliant with this policy or when activity adversely affects availability or functionality of the systems for other users.

---

## Scope

---

This policy provides guidelines for the use of Southwest Power Pool's UI, API and communications systems by MPs and other External Users of SPP systems.

External Systems refers to the interfaces (UI and API) and communications systems available to External Users. This includes but is not limited to portals, user interfaces, programmatic interfaces, public data, networks, data/file publication systems, computers, displays, and related devices. Access methods include, but are not limited to, programmatic interfaces, internet browser-based interfaces, and other externally initiated processing.

In the context of this Acceptable use Policy, the term "External User" refers to any entity, including but not limited to human, business, application that makes use of SPP's systems. This includes both registered MPs as well as third parties acting on behalf of MPs and/or other entities interacting with systems provided by SPP.

---

## Policy

---

The access and use of SPP systems by External Users shall be limited to only those activities that are lawful and consistent with SPP's Tariff, SPP Integrated Marketplace Market Protocols, SPP

Business Practices, and/or any other rate schedule, guideline or applicable document as determined by SPP. Such access and use of SPP's systems by an External User shall also be restricted to only those activities that do not negatively impact the functionality and/or availability of the systems, as reasonably determined by SPP in its sole and reasonable discretion. SPP will evaluate situations which result in unexpected load or disruption/denial of service to External users in defining at its discretion what constitutes reasonable use.

If it is reasonably determined by SPP that an External User is accessing or using SPP's systems in a manner that is unlawful, inconsistent with the Tariff, Integrated Marketplace Market Protocols, and/or any other rate schedule, guideline, applicable document, or this Policy, or that in any way negatively impacts the functionality and/or availability of the systems, SPP may take any action necessary to remedy the situation, including, without limitation, the restriction of system access, revocation of security privileges and/or denial of service. If practical under the circumstances, SPP will make reasonable efforts to contact such External User to request that such External User cease such activities. In the event SPP does attempt to contact the External User prior to taking affirmative action to remedy the situation, or if the External User is unwilling or unable to cease the activities identified to it by SPP as being inconsistent with this Policy, SPP has the responsibility to and will take any action necessary to remedy the situation.

---

## **Enforcement**

---

### **Reasonable Use**

External Users expecting to utilize SPP systems and communications systems in a manner that is inconsistent with this Policy (e.g., polling of systems at a frequency higher than necessary for basic reconciliation of data) must receive approval from SPP in advance of such activities. Failure to receive approval from SPP will result in immediate notification to the External User that the activities are inconsistent with this Policy. If the activities are not ceased immediately upon notification, SPP may prevent the External User's continued access to SPP systems. Access privileges will be re-enabled following termination of the violating activity.

### **Privacy**

External users and their authorized agents must comply with all confidentiality and data dissemination requirements provided by the Federal Energy Regulatory Commission (FERC) Standards of Conduct, SPP's Tariff, and SPP's Integrated Marketplace Market Protocols. Failure to comply with these requirements may result in immediate termination of the External User's access to the systems and publication of such violation of SPP's Open Access Same-Time Information System (OASIS).

### **Revocation of Access**

Any External User that, in the sole discretion of SPP, is determined to be in violation of this Policy will be notified of such violation and will be required to immediately terminate any activity determined to be inconsistent with this Policy.

---

## **Disclaimer**

---

This document is prepared for informational purposes only to support the application of the provisions of SPP's Tariff and the services provided thereunder. SPP may revise or terminate this document at any time at its discretion without notice. Every effort will be made by SPP to update this document and inform its users of changes as soon as practicable.

In the event of a conflict between this document and SPP's Tariff, SPP's Tariff will control, and nothing in this document shall be interpreted to contradict, amend or supersede SPP's Tariff. SPP is not responsible for any reliance on this document by others, or for any errors or omissions or misleading information contained herein.